

Electronic Acknowledgement Receipt

EFS ID:	4111189
Application Number:	09688392
International Application Number:	
Confirmation Number:	2870
Title of Invention:	System and method for trading taxable and non-taxable securities
First Named Inventor/Applicant Name:	Thomas C. Naratil
Customer Number:	21890
Filer:	Breda Donovan/Elizabeth Tavarez
Filer Authorized By:	Breda Donovan
Attorney Docket Number:	74622-015
Receipt Date:	14-OCT-2008
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Time Stamp:	16:17:41
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$490
RAM confirmation Number	1845
Deposit Account	162500
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After Non-Final Reject	74622-015amendment.pdf	557108 bbd55ad3459dddb53a0c060a25c52e995a6b22dc3	no	12

Warnings:**Information:**

2	Fee Worksheet (PTO-06)	fee-info.pdf	30367 7ab1901cc3e47c26e6f422934357cbdfabb92578	no	2
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Warnings:**Information:**

Total Files Size (in bytes):

587475

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.